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PTO/SB/05 (11-00)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

93-10

First Inventor

House

Title

BIOLOGICAL SPECIMEN COLLECTION APPARATUS

Express Mail Label No.

EF 159111976 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - c. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, 1.63(d)(2) and 1.33(b).

Date of Deposit: July 25, 2001

6. ☐ Application Data Sheet. See 37 CFR

18. If a CONTINUING APPLICATION, check ☐ or in an Application Data Sheet under 37 CFR 1

☐ Continuation ☐ Divisional ☐

Prior application information:

Examiner

For CONTINUATION OR DIVISIONAL APPS only: If Box 5b, is considered a part of the disclosure of the The incorporation can only be relied upon when a

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

I hereby certify that this paper or fee is being deposited with U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Timothy R. Kroboth Reg. No. 28,435

nder  
ence.

## 19. CORRESPONDENCE ADDRESS

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Timothy R. Kroboth

Date

7/25/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

jc972 U.S. PTO

09/912829

07/25/01

<b>FEE TRANSMITTAL</b> Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12. See 37 C.F.R. §§ 1.27 and 1.28.		<b>Complete if Known</b>	
		Application Number	
		Filing Date	
		First Named Inventor	House
		Examiner Name	
TOTAL AMOUNT OF PAYMENT		(\$)	355.00
		Group / Art Unit	
		Attorney Docket No.	93-10

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>11-1830</u> Deposit Account Name <u>Timothy R. Kroboth</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 <input type="checkbox"/> Charge the Issue Fee Set in 37 C.F.R. § 1.16 at the Mailing of the Notice of Allowance		3. <b>ADDITIONAL FEES</b> Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Code (\$) Code (\$) Fee Description Fee Paid	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		106 130 205 65 Surcharge - late filing fee or oath	
<b>FEE CALCULATION</b>		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
1. <b>BASIC FILING FEE</b> Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		139 130 139 130 Non-English specification	
101 790 201 395 Utility filing fee		147 2,520 147 2,520 For filing a request for reexamination	
106 330 206 165 Design filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
107 540 207 270 Plant filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
108 790 208 395 Reissue filing fee		116 110 216 55 Extension for reply within first month	
114 150 214 75 Provisional filing fee		116 400 216 200 Extension for reply within second month	
SUBTOTAL (1) (\$)		117 960 217 475 Extension for reply within third month	
2. <b>EXTRA CLAIM FEES</b> Total Claims <u>20</u> Extra Claims <u>20</u> Fee from below <u>20</u> Fee Paid <u>20</u> Independent Claims <u>3</u> Multiple Dependent <u>17</u>		118 1,510 218 755 Extension for reply within fourth month	
*or number previously paid, if greater; For Reissues, see below		128 2,060 228 1,030 Extension for reply within fifth month	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		119 310 219 155 Notice of Appeal	
103 203 Claims in excess of 20		120 310 220 155 Filing a brief in support of an appeal	
102 202 Independent claims in excess of 3		121 270 221 135 Request for oral hearing	
104 204 Multiple dependent claim, if not paid		138 1,510 138 1,510 Petition to institute a public use proceeding	
109 209 ** Reissue independent claims over original patent		140 110 240 55 Petition to revive - unavoidable	
110 210 ** Reissue claims in excess of 20 and over original patent		141 1,320 241 660 Petition to revive - unintentional	
SUBTOTAL (2) (\$)		142 1,320 242 660 Utility issue fee (or reissue)	
		143 450 243 225 Design issue fee	
		144 670 244 335 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 240 126 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		148 790 248 395 Filing a submission after final rejection (37 CFR 1.129(a))	
		149 790 249 395 For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify) _____	
		Other fee (specify) _____	
		* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name		Reg. Number	
Signature		Deposit Account User ID	
	Date		

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